SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

			or Section 30(h) of the Investment Company Act of 1940					
1. Name and Address of Reporting Person*			2. Issuer Name and Ticker or Trading Symbol Allison Transmission Holdings Inc [ALSN]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
<u>GARCIA TUNON ALVARO</u>		ARO	<u></u>	X	Director	10% Owner		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 05/29/2020	1	Officer (give title below)	Other (specify below)		
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6 Indi	vidual or laint/Croup Fili	ng (Chock Applicable		
(Street) INDIANAPOLIS IN 46222				Line)				
		46222		X				
(City)	(State)	(Zip)						
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								

	1. Title of Security (Instr. 3)	Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
				Code	v	Amount	(A) or (D)	Price	 Reported Transaction(s) (Instr. 3 and 4) 		(Instr. 4)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of 3A. Deemed 6. Date Exercisable and 9. Number of 11. Nature 3. Transaction 5. Number 7. Title and 8. Price of 10. Conversion Expiration Date (Month/Day/Year) Derivative Date Execution Date Transaction of Amount of Derivative derivative Ownership of Indirect if any Security (Instr. 3) or Exercise Price of Code (Instr. 8) Security (Instr. 5) Form: Direct (D) (Month/Day/Year) Derivative Securities Securities Beneficial (Month/Day/Year) Securities Underlying Beneficially Ownership Acquired (A) or Disposed of (D) (Instr. 3, 4 Derivative Derivative Security Owned or Indirect (Instr. 4) Security (Instr. 3 and 4) Following (I) (Instr. 4) Reported Transaction(s) (Instr. 4) and 5) Amount or Number Expiration Date Date of Code v (A) (D) Exercisable Title Shares

(1)

Explanation of Responses:

(1)

1. The dividend equivalent rights accrued on previously awarded deferred stock units ("DSUs") and vest proportionately with the DSUs to which they relate. Each dividend equivalent right is the economic equivalent of one share of Allison Transmission Holdings, Inc. common stock.

118

Remarks:

Dividend

Rights

Equivalent

/s/ Jacalyn C. Bolles, attorney-06/02/2020

\$<mark>0</mark>

968

D

in-fact

118

Commoi

Stock

(1)

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

05/29/2020

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).