| SEC Form 4 |  |
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# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

| Check this box if no longer subject to |
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| Section 16. Form 4 or Form 5           |
| obligations may continue. See          |
| Instruction 1(b).                      |

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

#### OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

| 1. Nume and Address of Reporting Ferson |            |          | 2. Issuer Name <b>and</b> Ticker or Trading Symbol<br>Allison Transmission Holdings Inc [ ALSN ] | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable) |                                     |                       |  |  |
|---|------------|----------|--|--|-------------------------------------|-----------------------|--|--|
| <u>Graziosi David S.</u>                |            |          |  | X  | Director                            | 10% Owner             |  |  |
| (Last) (F                               | First)     | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year)   | x  | Officer (give title below)          | Other (specify below) |  |  |
| ONE ALLISON WAY                         |            |          | 03/15/2019   |  | CEO and President                   |                       |  |  |
| (Street)                                |            |          | 4. If Amendment, Date of Original Filed (Month/Day/Year)   | 6. Indiv<br>Line)  | ridual or Joint/Group Filing (      | Check Applicable      |  |  |
| INDIANAPOLIS I                          | I <b>N</b> | 46222    |  | X  | Form filed by One Report            | ting Person           |  |  |
| (City) (S                               | State)     | (Zip)    |  |  | Form filed by More than (<br>Person | One Reporting         |  |  |
|   |            |          |  |  |                                     |                       |  |  |

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year)<br>2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) |  | Transaction<br>Code (Instr. |   | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 and<br>5) |               |       | Securities<br>Beneficially         | (D) or Indirect | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|------------------------------|---|--|-----------------------------|---|---|---------------|-------|------------------------------------|-----------------|---|
|                              |   |  | Code                        | v | Amount  | (A) or<br>(D) | Price | Transaction(s)<br>(Instr. 3 and 4) |                 | (1130.4)  |

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | of  |     | 6. Date Exerc<br>Expiration Da<br>(Month/Day/Y | ate                | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---|---|--|---|------------------------------|---|-----|-----|--|--------------------|--|--|---|--|--|--|
|   |   |  |   | Code                         | v | (A) | (D) | Date<br>Exercisable                            | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of<br>Shares |   |  |  |  |
| Dividend<br>Equivalent<br>Rights                    | (1)   | 03/15/2019                                 |   | A                            |   | 43  |     | (1)  | (1)                | Common<br>Stock  | 43                                     | \$0   | 423  | D  |  |

**Explanation of Responses:** 

1. The dividend equivalent rights accrued on previously awarded restricted stock units ("RSUs") and vest proportionately with the RSUs to which they relate. Each dividend equivalent right is the economic equivalent of one share of Allison Transmission Holdings, Inc. common stock.

**Remarks:** 

### /s/Jacalyn C. Bolles, attorneyin-fact

03/19/2019

Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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