SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287
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0.5

Check this box if no longer subject to	
Section 16. Form 4 or Form 5	
obligations may continue. See	
Instruction 1(b).	

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(b) of the Investment Company Act of 1940

			of Section So(n) of the investment Company Act of 1940					
	dress of Reporting		2. Issuer Name and Ticker or Trading Symbol Allison Transmission Holdings Inc [ALSN]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
ASKREN STANLEY A		7		X	Director	10% Owner		
(Last) ONE ALLIS	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 11/25/2020		Officer (give title below)	Other (specify below)		
ONE ALLIS	JIN WAI							
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv	. Individual or Joint/Group Filing (Check Applicable ine)			
(Street)	N IS IN	46222		X	Form filed by One Re	Form filed by One Reporting Person		
			_		Form filed by More than One Reporting Person			
(City)	(State)	(Zip)						
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Reported Fransaction(s) Instr. 3 and 4)		(mou. 4)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of 3A. Deemed 6. Date Exercisable and 9. Number of 11. Nature 3. Transaction 5. Number 7. Title and 8. Price of 10. Conversion Date Expiration Date (Month/Day/Year) Derivative Derivative Execution Date. Transaction of Amount of derivative Ownership of Indirect Security (Instr. 3) or Exercise Price of if any (Month/Day/Year) Code (Instr. 8) Security (Instr. 5) Form: Direct (D) (Month/Day/Year) Derivative Securities Securities Beneficial Underlying Derivative Security Securities Beneficially Ownership (Instr. 4) Acquired (A) or Disposed of (D) (Instr. 3, 4 Derivative Owned or Indirect Security (Instr. 3 and 4) Following (I) (Instr. 4) Reported Transaction(s) (Instr. 4) and 5) Amount or Number Expiration Date Date of Code v (A) (D) Exercisable Title Shares Dividend Commoi (1) (1) 11/25/2020 31 (1) 31 151 \$<mark>0</mark> D Equivalent A Stock Rights

Explanation of Responses:

1. The dividend equivalent rights accrued on previously awarded deferred stock units ("DSUs") and vest proportionately with the DSUs to which they relate. Each dividend equivalent right is the economic equivalent of one share of Allison Transmission Holdings, Inc. common stock.

Remarks:

/s/Jacalyn C. Bolles, Attorneyin-Fact <u>11/30/2020</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.