SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Spivy Gregory P  |               |                | . Date of Event<br>Requiring Staten<br>Month/Day/Year<br>5/14/2015 | nent  | 3. Issuer Name and Ticker or Trading Symbol<br>Allison Transmission Holdings Inc [ ALSN ] |   |   |   |  |  |
|--|---------------|----------------|--|---|---|---|---|---|--|--|
| (Last) (First) (Middle)<br>ONE LETTERMAN DRIVE<br>BUILDING D, 4TH FLOOR  |               |                |  | 4. Relationship of Reporting Pers<br>(Check all applicable)<br>X Director |   | on(s) to Issuer<br>10% Owner              |   | 5. If Amendment, Date of Original Filed<br>(Month/Day/Year) |  |  |
| (Street)   |               |                |  |   |   | Officer (give title below)                | Other (spe<br>below)  |   | Applicable Line)<br>X Form filed b                       | t/Group Filing (Check<br>y One Reporting Person<br>y More than One |
| FRANCISCO<br>(City)  | CA<br>(State) | 94129<br>(Zip) |  |   |   |   |   |   | Reporting F  |  |
| Table I - Non-Derivative Securities Beneficially Owned   |               |                |  |   |   |   |   |   |  |  |
| 1. Title of Security (Instr. 4)  |               |                |  |   |   | nt of Securities<br>ally Owned (Instr. 4) | 3. Ownership<br>Form: Direct (D)<br>or Indirect (I)<br>(Instr. 5) |   | 4. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |  |
| Table II - Derivative Securities Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities) |               |                |  |   |   |   |   |   |  |  |
| 1. Title of Derivative Security (Instr. 4)   |               |                | 2. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year)     |   | 3. Title and Amount of Securi<br>Underlying Derivative Securit                            |   | y (Instr. 4) Conve<br>or Exe                                      |   | ise Form:  | 6. Nature of Indirect<br>Beneficial Ownership<br>(Instr. 5)        |
|  |               |                | Date<br>Exercisable  | Expiration<br>Date  | Title   |   | Amount<br>or<br>Number<br>of<br>Shares                            | Price of<br>Derivativ<br>Security                           |  |  |

Explanation of Responses

**Remarks:** 

No securities are beneficially owned.

No securities are beneficially owned.

<u>/s/ Gregory P. Spivy</u>

\*\* Signature of Reporting Person Date

05/18/2015

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.