SEC Form 4
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## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

			or Section So(n) of the investment Company Act of 1940						
1. Name and Address o Scroggins Eric (	1 0	*	2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Allison Transmission Holdings Inc</u> [ ALSN ]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
<u>Scröggnis Enc C.</u>					Director	10% Owner			
(Last) (First) (Middle)				X	Officer (give title below)	Other (specify below)			
	(First) (Middle) ON TRANSMISSION HOLDING SON WAY		3. Date of Earliest Transaction (Month/Day/Year) 02/10/2023		VP, Gen. Counsel 8	& Secretary			
ONE ALLISON W	AY		4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	idual or Joint/Group Filing	g (Check Applicable			
(Street)				X	Form filed by One Repo	orting Person			
INDIANAPOLIS I	N	46222			Form filed by More than Person	n One Reporting			
(City) (S	State)	(Zip)							

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

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1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr.		4. Securities Disposed Of 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership			
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)			
Common Stock	02/10/2023		М		896	A	(1)	6,896	D				
Common Stock	02/10/2023		М		35	A	(2)	6,931	D				
Common Stock	02/10/2023		F		325 <sup>(3)</sup>	D	\$45.32	6,606	D				

 
 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	action of		6. Date Exerc Expiration Da (Month/Day/)	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Units	(1)	02/10/2023		м			896	(1)	(1)	Common Stock	896	\$0	897	D	
Dividend Equivalent Rights	(2)	02/10/2023		М			35	(2)	(2)	Common Stock	35	\$0	233	D	

Explanation of Responses:

1. Settlement of restricted stock units ("RSUs") granted on February 10, 2021. Each RSU represents a contingent right to receive one share of Allison Transmission Holdings, Inc. ("ALSN") common stock.

2. Settlement of dividend equivalent rights ("DERs"). The DERs accrued when and as dividends were paid on ALSN common stock and vest proportionately with the RSU to which they relate. Each DER is the economic equivalent of one share of ALSN common stock.

3. Represents shares withheld by ALSN to satisfy tax withholding obligations on the vesting of DERs and RSUs.

/s/ Eric C. Scroggins

\*\* Signature of Reporting Person

02/14/2023 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.