SEC Form 4

П

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

Instruction	ii 1(b).		or Section 30(h) of the Investment Company Act of 1940			
1. Name and <i>Parish Da</i>	Address of Reporting avid L.	Person*	2. Issuer Name and Ticker or Trading Symbol Allison Transmission Holdings Inc [ALSN]	(Check	ationship of Reporting Pe (all applicable) Director Officer (give title	rson(s) to Issuer 10% Owner Other (specify
(Last) ONE ALLI	(First) (Middle) LISON WAY		3. Date of Earliest Transaction (Month/Day/Year) 01/28/2013	X	below) SVP, Operations an	below)
(Street) INDIANAI	POLIS IN	46222	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	idual or Joint/Group Filir Form filed by One Rep Form filed by More tha Person	porting Person
(City)	(State)	(Zip)		1		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D) Price		Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	01/28/2013		М		25,888	A	\$8.44	58,870	D	
Common Stock	01/28/2013		S ⁽¹⁾		25,888	D	\$21.8777(2)	32,982	D	
Common Stock	01/29/2013		М		14,600	A	\$8.44	47,582	D	
Common Stock	01/29/2013		S ⁽¹⁾		14,600	D	\$21.963 ⁽³⁾	32,982	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		n of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Employee Stock Option (right to buy)	\$8.44	01/28/2013		М			25,888	(4)	09/30/2017	Common Stock	25,888	\$0	51,862	D	
Employee Stock Option (right to buy)	\$8.44	01/29/2013		М			14,600	(4)	09/30/2017	Common Stock	14,600	\$0	37,262	D	

Explanation of Responses:

1. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on August 30, 2012.

2. The price reported is a weighted average price. These shares were sold in multiple transactions at per share prices ranging from \$21.7500 to \$22.0400. The reporting person undertakes to provide the Company, any security holder of the Company or the staff of the SEC, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote. 3. The price reported is a weighted average price. These shares were sold in multiple transactions at per share prices ranging from \$21.7500 to \$22.1300. The reporting person undertakes to provide the Company, any security holder of the Company or the staff of the SEC, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote. 4. The option vested in five equal annual installments beginning on August 7, 2008.

Remarks:

/s/ Eric C. Scroggins, attorney-01/30/2013 in-fact

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.