SEC Form 4	
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## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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FORM 4

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> Scroggins Eric C.	2. Issuer Name <b>and</b> Ticker or Trading Symbol Allison Transmission Holdings Inc [ ALSN ]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
<u>beroggins Life C.</u>		Director 10% Owner					
·		X Officer (give title Other (specify below) below)					
(Last) (First) (Middle) C/O ALLISON TRANSMISSION HOLDINGS, INC.	3. Date of Earliest Transaction (Month/Day/Year) 02/21/2023	VP, Gen. Counsel & Secretary					
ONE ALLISON WAY	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street)		X Form filed by One Reporting Person					
INDIANAPOLIS IN 46222		Form filed by More than One Reporting Person					
(City) (State) (Zip)							

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

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1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code ( 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Common Stock	02/21/2023		М		5,878	Α	\$23.59	6,484	D		
Common Stock	02/21/2023		М		4,401	Α	\$37.11	10,885	D		
Common Stock	02/21/2023		М		5,787	Α	\$43.3	16,672	D		
Common Stock	02/21/2023		М		5,378	Α	\$43.13	22,050	D		
Common Stock	02/21/2023		S		12,264	D	\$48.3256(1)	9,786	D		
Common Stock	02/21/2023		S		5,541	D	\$49.1532(2)	4,245	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

(3-,,,,,,												/					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code 8)		of Deri Sec Acq (A) Disp of (I	oosed D) tr. 3, 4	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownershi (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares						
Employee Stock Option (right to buy)	\$23.59	02/21/2023		м			5,878	(3)	02/16/2026	Common Stock	5,878	\$0	0	D			
Employee Stock Option (right to buy)	\$37.11	02/21/2023		М			4,401	(4)	02/21/2027	Common Stock	4,401	\$0	0	D			
Employee Stock Option (right to buy)	\$43.3	02/21/2023		М			5,787	(5)	02/07/2028	Common Stock	5,787	\$0	0	D			
Employee Stock Option (right to buy)	\$43.13	02/21/2023		М			5,378	(6)	02/10/2031	Common Stock	5,378	\$0	2,689	D			

## **Explanation of Responses:**

1. The price reported is a weighted average price. The shares were sold in multiple transactions at per share prices ranging from \$47.6926 to \$48.6800. The reporting person undertakes to provide Allison Transmission Holdings, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

2. The price reported is a weighted average price. The shares were sold in multiple transactions at per share prices ranging from \$48.6900 to \$49.5250. The reporting person undertakes to provide Allison Transmission Holdings, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

3. The options vested on February 17, 2019.

4. The options vested on February 22, 2020.

5. The options vested on February 7, 2021.

6. The options vest in three equal annual intallments beginning on February 10, 2022.



\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{*}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.