SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Instruction	II 1(D).		or Section 30(h) of the Investment Company Act of 1940		1	
1. Name and Price Rol	Address of Reporting bert <u>M.</u>	Person*	2. Issuer Name and Ticker or Trading Symbol Allison Transmission Holdings Inc [ALSN]	(Checl	ationship of Reporting Po k all applicable) Director Officer (give title	erson(s) to Issuer 10% Owner Other (specify
(Last) ONE ALLI	(First) ISON WAY	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 04/11/2013	X	below) VP, Human R	below)
(Street) INDIANAI	POLIS IN	46222	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indi Line) X	vidual or Joint/Group Fili Form filed by One Re Form filed by More th Person	porting Person
(City)	(State)	(Zip)		1	FEISON	

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Common Stock	04/11/2013		М		11,356	A	\$8.44	35,056	D		
Common Stock	04/11/2013		М		12,000	Α	\$12.66	47,056	D		
Common Stock	04/11/2013		М		10,000	A	\$16.88	57,056	D		
Common Stock	04/11/2013		S ⁽¹⁾		33,356	D	\$23.7154 ⁽²⁾	23,700	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	(eigi, paro, cano, martanto, optiono, contentito occurritor)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deri Sec Acq (A) Disj of (I	lumber ivative urities juired or posed D) (Instr. and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Employee Stock Option (right to buy)	\$16.88	04/11/2013		М			10,000	(3)	09/30/2017	Common Stock	10,000	\$0	40,000	D	
Employee Stock Option (right to buy)	\$8.44	04/11/2013		М			11,356	(3)	09/30/2017	Common Stock	11,356	\$0	45,424	D	
Employee Stock Option (right to buy)	\$12.66	04/11/2013		М			12,000	(3)	09/30/2017	Common Stock	12,000	\$0	48,000	D	

Explanation of Responses:

1. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on August 30, 2012.

2. The price reported is a weighted average price. These shares were sold in multiple transactions at per share prices ranging from \$23,4100 to \$23,8000. The reporting person undertakes to provide the Company, any security holder of the Company or the staff of the SEC, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote. 3. The option vested in five equal annual installments beginning on August 7, 2008.

Remarks:

/s/ Eric C. Scroggins, attorney-04/12/2013

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

** Signature of Reporting Person

<u>in-fact</u>

Date

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