SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287								
Estimated average burden									
hours per response:	0.5								

📙 obliga	n 16. Form 4 c tions may cont ction 1(b).		led pursuar	pursuant to Section 16(a) of the Securities Exchange Act of 1934										Estimated average burden hours per response: 0			
						tion 30(h) of the li											
1. Name and Address of Reporting Person* Scroggins Eric C.						2. Issuer Name and Ticker or Trading Symbol Allison Transmission Holdings Inc [ALSN]								cable) or (give title	0		wner (specify
(Last) ONE AI	(F LLISON W.	First) AY	(Middle)			3. Date of Earliest Transaction (Month/Day/Year) 03/25/2013							,	below) VP, General (below) sel & Sec	
(Street) INDIAN (City)	IAPOLIS I	N State)	46222 (Zip)		— 4. If Am —	4. If Amendment, Date of Original Filed (Month/Day/Year)) 🏹 Form f	iled by On iled by Mo	oup Filing (Check Applicable One Reporting Person More than One Reporting		
			Table I - No	n-Deri	vative S	ecurities Acc	uired,	Dis	posed o	f, or	Bene	eficially	y Owned	l			
1. Title of Security (Instr. 3) Date (Month/D				saction h/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code 8)		4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 5)				5. Amou Securitie Beneficia Owned F Reported	es ally Following	Forn (D) o	vnership n: Direct r Indirect nstr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
							Code	v	Amount	(/ (I	(A) or (D)	Price	Transact	Transaction(s) (Instr. 3 and 4)			(1150.4)
			Table II -			curities Acqu lls, warrants,						-	Owned				
1. Title of 2. 3. Transaction 3A. Deemed 4				4.	5. Number 6. Date Exercisable and 7. Title and Amount						Amount	8. Price of	9. Numbe	er of	10.	11. Natu	

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code (Transaction of Code (Instr. Derivative			6. Date Exerc Expiration Da (Month/Day/Y	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Employee Stock Option (right to buy)	\$23.58	03/25/2013		A		11,830		(1)	03/24/2023	Common Stock	11,830	\$0	11,830	D	
Restricted Stock Units	(2)	03/25/2013		Α		3,185		(3)	(3)	Common Stock	3,185	\$0	3,185	D	

Explanation of Responses:

1. The option vests on December 15, 2015.

2. Each restricted stock unit ("RSU") represents a contingent right to receive one share of Allison Transmission Holdings, Inc. common stock.

3. The RSUs vest on December 15, 2015.

Remarks:

/s/ Eric C. Scroggins

** Signature of Reporting Person

03/27/2013 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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