SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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Estimated average burden	
hours per response:	0.5

1. Name and Address of Reporting Person [*] <u>Dewey Lawrence E.</u>			2. Issuer Name and Ti Allison Transm		(Check	ationship of Reporting Person(s) to Issue k all applicable) Director 10% Own Officer (give title Other (sp			r		
(Last) ONE ALLIS	(First) SON WAY	(Middle)	3. Date of Earliest Tran 10/14/2013	nsaction (Mont	n/Day/Year)		Х	Chairman, Pr	bel	ow)`'	Sity
(Street) INDIANAP (City)	OLIS IN (State)	46222 (Zip)	4. If Amendment, Date	e of Original Fil	d (Month/Day/Yea	r)	6. Indiv Line) X	idual or Joint/Group Form filed by One Form filed by Mor Person	e Reporting P	erson	
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	10/14/2013		М		9,100	A	\$12.66	162,444	D	
Common Stock	10/14/2013		М		10,000	A	\$16.88	172,444	D	
Common Stock	10/14/2013		S ⁽¹⁾		19,100	D	\$25.006 ⁽²⁾	153,344	D	
Common Stock	10/15/2013		М		900	A	\$12.66	154,244	D	
Common Stock	10/15/2013		М		7,000	A	\$8.44	161,244	D	
Common Stock	10/15/2013		S ⁽¹⁾		7,900	D	\$24.8175 ⁽³⁾	153,344	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deri Sec Acq (A) (Disp of (I	umber vative urities uired or oosed D) (Instr. and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Employee Stock Option (right to buy)	\$16.88	10/14/2013		М			10,000	(4)	09/30/2017	Common Stock	10,000	\$0	1,480,128	D	
Employee Stock Option (right to buy)	\$12.66	10/14/2013		М			9,100	(4)	09/30/2017	Common Stock	9,100	\$0	1,236,639	D	
Employee Stock Option (right to buy)	\$8.44	10/15/2013		М			7,000	(4)	09/30/2017	Common Stock	7,000	\$0	145,997	D	
Employee Stock Option (right to buy)	\$12.66	10/15/2013		М			900	(4)	09/30/2017	Common Stock	900	\$0	1,235,739	D	

Explanation of Responses:

1. The sales reported in this Form 4 were affected pursuant to a Rule 10b5-1 plan adopted by the reporting person on March 5, 2013.

2. The price reported is a weighted average price. These shares were sold in multiple transactions at per share prices ranging from 24.7850 to 25.1500. The reporting person undertakes to provide the Company,

any security holder of the Company or the staff of hte SEC, upon request, full information regarding the number of shares sold at each separate price within the range set forth in the footnote

3. The price reported is a weighted average price. These shares were sold in multiple transactions at per share prices ranging from 24.7000 to 24.9400. The reporting person undertakes to provide the Company, any security holder of the Company or the staff of hte SEC, upon request, full information regarding the number of shares sold at each separate price within the range set forth in the footnote.

4. The option vested in five equal installments beginning on August 7, 2008.

Remarks:

in-fact

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.