SEC Form 4

Instruction 1(b).

Common Stock⁽³⁾

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB AP	PROVAL
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Filed pursuant to	Section 1	6(a) of the	Securities	Exchange A	Act of '	1934

or Section 30(h) of the Investment Company Act of 193

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1. Name and Address of Reporting Person [*] RABAUT THOMAS W				er Name and Ticke on Transmiss					ationship of Reporting Person(s) to Is k all applicable) Director 10% C		Owner		
(Last) (First) (Middle) C/O ALLISON TRANSMISSION HOLDINGS, INC. ONE ALLISON WAY				of Earliest Transa 2022	ction (M	onth/E	Day/Year)		- Officer (give title Other below) below				
		4. If Am	nendment, Date of	Original	Filed	(Month/Day/Ye	6. Ind Line)	6. Individual or Joint/Group Filing (Check Applicable					
(Street) INDIANAPOLIS IN 46222										X Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)											
		Table I - Non-D	erivative S	ecurities Acq	uired,	Dis	posed of, o	or Ben	eficially	Owned			
Date			Transaction te onth/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
				Code	v	Amount (A) or (D)		Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)		
Common Stock	(1)	5/04/2022		Α		535	Α	\$0 ⁽²⁾	1,686	D			

Common Stock Common Stock Common Stock Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

М

2,985(4)

Α

\$<mark>0</mark>

4,671

D

	(e.g., puts, cans, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Num Deriva Securi Acquir or Disj of (D) 3, 4 an	ties red (A) bosed (Instr.	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Restricted Stock Units	(5)	05/04/2022		М			2,927	(6)	(6)	Common Stock	2,927	\$0	0	D	
Dividend Equivalent Rights	(7)	05/04/2022		М			58	(8)	(8)	Common Stock	58	\$0	55	D	
Restricted Stock Units ⁽⁹⁾	(5)	05/05/2022		Α		3,746		(10)	(10)	Common Stock	3,746	\$0 ⁽¹¹⁾	3,746	D	

Explanation of Responses:

1. These shares represent a quarterly payment of the reporting person's annual retainer under the Allison Transmission Holdings, Inc. (the "Company") Fifth Amended and Restated Non-Employee Director

Compensation Policy. The annual retainer is paid quarterly in arrears in cash or common stock at the reporting person's discretion.

05/04/2022

2. The number of shares of common stock received was calculated based on \$39.69, which was the closing price of the Company's common stock on the date of grant.

3. Settlement of restricted stock units ("RSUs") and related dividend equivalent

4. Includes 58 dividend equivalents.

5. Each RSU represents a contingent right to receive one share of the Company's common stock.

6. On May 6, 2021, the reporting person was granted 2,927 RSUs that vested on May 4, 2022.

7. Each dividend equivalent right is the economic equivalent of one share of the Company's common stock.

8. The dividend equivalent rights accrued on previously awarded RSUs and vested on May 4, 2022.

9. The RSUs represent the reporting person's annual equity award under the Company's Sixth Amended and Restated Non-Employee Director Compensation Policy.

10. The RSUs vest on the date of the next annual meeting of the stockholders of the Company. Unvested RSUs earn dividend equivalents when dividends are declared on the Company's common stock.

11. The number of RSUs received was calculated based on \$38.70, which was the closing price of the Company's common stock on the date of grant.

/s/ Jacalyn C. Bolles, attorneyin-fact 05/06/2022

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.