SEC Form 4

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(City)

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

Person

х

SVP, Global MSS

6. Individual or Joint/Group Filing (Check Applicable Line)

Form filed by One Reporting Person Form filed by More than One Reporting

OMB Number:	3235-0287							
Estimated average burden								
hours per response:	0.5							

10% Owner

Other (specify

	obligations ma Instruction 1(b	ay continue. <i>See</i>)).			ant to Section 16(a) of the Securities Exchange Act of 1934 ection 30(h) of the Investment Company Act of 1940		hours p	er response:	
					er Name and Ticker or Trading Symbol son Transmission Holdings Inc [ALSN]		all applicable)	- /	
<u>includy michael O.</u>				1	Director	10% Owne			
	(Last)	(First)	(Middle)	3. Date	e of Earliest Transaction (Month/Day/Year)	- x	Officer (give title below)	Other (spe below)	
	(Lasi)	(Filst)	(Midule)	02/18	3/2014		SVP. Glo	bal MSS	

(Street) INDIANAPOLIS IN

ONE ALLISON WAY

(State) (Zip)

46222

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

4. If Amendment, Date of Original Filed (Month/Day/Year)

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Common Stock ⁽¹⁾	02/18/2014		A		4,800	A	\$ <u>0</u>	46,200	D		
Common Stock	02/19/2014		М		5,000	A	\$12.66	51,200	D		
Common Stock	02/19/2014		S ⁽²⁾		5,000	D	\$30.347 ⁽³⁾	46,200	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)		
Employee Stock Option (right to buy)	\$30.23	02/18/2014		A		22,400		(4)	02/17/2024	Common Stock	22,400	\$0	22,400	D	
Employee Stock Option (right to buy)	\$12.66	02/19/2014		М			5,000	(5)	09/30/2017	Common Stock	5,000	\$0	259,189	D	

Explanation of Responses:

1. Represents a grant of restricted stock, which vests on December 1, 2016.

2. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on August 13, 2013.

3. The price reported is a weighted average price. These shares were sold in multiple transactions at per share prices ranging from \$30.1900 to \$30.4500. The reporting person undertakes to provide the Company, any security holder of the Company or the staff of the SEC, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

4. The option vests on December 1, 2016.

5. The option vested in five equal annual installments beginning on August 7, 2008.

Remarks:

/s/ Eric C. Scroggins, attorney-

in-fact

02/20/2014

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.