## SEC Form 4

### FORM 4

obligations may continue. See Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

			or Section 30(n) of the investment Company Act of 1940					
1. Name and Address of Reporting Person*			2. Issuer Name and Ticker or Trading Symbol Allison Transmission Holdings Inc [ ALSN ]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
ASKREN STANLEY A		L	[	X	Director	10% Owner		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 05/28/2021		Officer (give title below)	Other (specify below)		
ONE ALLI.	SON WAI							
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	ng (Check Applicable			
(Street) INDIANAPOLIS IN 46222		46222		X	Form filed by One Reporting Person			
		10222	_		Form filed by More than One Reporting Person			
(City)	(State)	(Zip)						
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)		Transaction Disposed Of (D) (Instr Code (Instr. 5)		Disposed Of (D) (Instr. 3, 4 and 5)		Securities Fo Beneficially (D) Owned Following (I)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of 3A. Deemed 6. Date Exercisable and 9. Number of 11. Nature 3. Transaction 5. Number 7. Title and 8. Price of 10. Conversion Expiration Date (Month/Day/Year) Derivative Date Execution Date Transaction of Amount of Derivative derivative Ownership of Indirect if any Security (Instr. 3) or Exercise Price of Code (Instr. 8) Security (Instr. 5) Form: Direct (D) (Month/Day/Year) Derivative Securities Securities Beneficial (Month/Day/Year) Securities Underlying Beneficially Ownership Acquired (A) or Disposed of (D) (Instr. 3, 4 Derivative Derivative Security Owned or Indirect (Instr. 4) Security (Instr. 3 and 4) Following (I) (Instr. 4) Reported Transaction(s) (Instr. 4) and 5) Amount or Number Expiration Date Date of Code v (A) (D) Exercisable Title Shares Dividend Commoi

(1)

(1)

Explanation of Responses:

(1)

1. The dividend equivalent rights accrued on previously awarded deferred stock units ("DSUs") and vest proportionately with the DSUs to which they relate. Each dividend equivalent right is the economic equivalent of one share of Allison Transmission Holdings, Inc. common stock.

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#### Remarks:

Equivalent

Rights

/s/Jacalyn C. Bolles, Attorney-06/02/2021 in-Fact

\$<mark>0</mark>

235

D

\*\* Signature of Reporting Person Date

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Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

05/28/2021

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Α

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

# Check this box if no longer subject to Section 16. Form 4 or Form 5