SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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	(First) (Middle) ON WAY 3. Date of Earliest Transaction (Month/Day/Year) 02/14/2014 VP, Quality and Reliability 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line)								
1	1 5	Person*	8,						
Dean Shar	<u>on L.</u>				Director	10% Owner			
P			Allison Transmission Holdings Inc [ALSN] (Ch 3. Date of Earliest Transaction (Month/Day/Year) 02/14/2014 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. In	- x					
(Last)	(First)	(Middle)			below)	below)			
ONE ALLIS		(,	02/14/2014		VP, Quality and Reliability				
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)		vidual or Joint/Group Filii	ng (Check Applicable			
INDIANAPO	OLIS IN	46222		X	Form filed by One Re	porting Person			
			—			an One Reporting			
(City)	(State)	(Zip)							

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code V Amount (A) or (D) Price		Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)		
Common Stock	02/14/2014		М		11,800	A	\$8.44	22,995	D	
Common Stock	02/14/2014		М		22,100	A	\$12.66	45,095	D	
Common Stock	02/14/2014		М		26,600	A	\$16.88	71,695	D	
Common Stock	02/14/2014		S ⁽¹⁾		60,500	D	\$29.9532 ⁽²⁾	11,195	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deri Sec Acq (A) Disp of (I	lumber ivative urities juired or posed D) (Instr. and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Employee Stock Option (right to buy)	\$8.44	02/14/2014		М			11,800	(3)	09/30/2017	Common Stock	11,800	\$0	13,575	D	
Employee Stock Option (right to buy)	\$12.66	02/14/2014		М			22,100	(3)	09/30/2017	Common Stock	22,100	\$0	44,717	D	
Employee Stock Option (right to buy)	\$16.88	02/14/2014		М			26,600	(3)	09/30/2017	Common Stock	26,600	\$0	53,266	D	

Explanation of Responses:

1. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on August 13, 2013.

2. The price reported is a weighted average price. These shares were sold in multiple transactions at per share prices ranging from \$29,8500 to \$30,0900. The reporting person undertakes to provide the Company, any security holder of the Company or the staff of the SEC, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote. 3. The option vested in five equal annual installments beginning on August 7, 2008.

Remarks:

/s/ Eric C. Scroggins, attorneyin-fact 02/18/2014

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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