

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

OMB APPROVAL	
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Basso Rafael</u> <hr/> (Last) (First) (Middle) C/O ALLISON TRANSMISSION HOLDINGS, INC. ONE ALLISON WAY <hr/> (Street) INDIANAPOLIS IN 46222 <hr/> (City) (State) (Zip)	2. Date of Event Requiring Statement (Month/Day/Year) 03/14/2022	3. Issuer Name and Ticker or Trading Symbol <u>Allison Transmission Holdings Inc</u> [ <u>ALSN</u> ]	
		4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director _____ 10% Owner _____ <input checked="" type="checkbox"/> Officer (give title below) Other (specify below) _____ <p style="text-align: center;">VP, Operations</p>	5. If Amendment, Date of Original Filed (Month/Day/Year)  6. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	986	D	

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Employee Stock Option (right to buy)	(1)	02/22/2027	Common Stock	2,075	37.11	D	
Employee Stock Option (right to buy)	(2)	02/07/2028	Common Stock	2,930	43.3	D	
Employee Stock Option (right to buy)	(3)	02/20/2029	Common Stock	3,765	49.6	D	
Employee Stock Option (right to buy)	(4)	02/25/2030	Common Stock	3,854	43.24	D	
Employee Stock Option (right to buy)	(5)	02/10/2031	Common Stock	4,567	43.13	D	
Employee Stock Option (right to buy)	(6)	10/01/2021	Common Stock	2,084	35.86	D	
Employee Stock Option (right to buy)	(7)	02/23/2032	Common Stock	8,994	39.42	D	
Restricted Stock Units	(8)	(8)	Common Stock	1,051	(9)	D	
Restricted Stock Units	(10)	(10)	Common Stock	830	(9)	D	
Restricted Stock Units	(11)	(11)	Common Stock	695	(9)	D	
Restricted Stock Units	(12)	(12)	Common Stock	2,998	(9)	D	
Dividend Equivalent Rights	(13)	(13)	Common Stock	56	(13)	D	

Explanation of Responses:

1. The options vested on February 22, 2020.
2. The options vested on February 07, 2021.
3. The options vested on February 20, 2022.
4. The options vests on February 25, 2023.
5. The option vests in three equal annual installments beginning on February 10, 2022.
6. The option vests in three equal annual installments beginning on October 1, 2022.
7. The option vests in three equal annual installments beginning on February 23, 2023.
8. The restricted stock units ("RSUs") vest on February 25, 2023.
9. Each RSU represents a contingent right to receive one share of Allison Transmission Holdings, Inc. common stock ("Common Stock"). RSUs earned dividend equivalents when dividends are declared on the Common Stock.
10. The RSUs vest in three equal annual installments beginning on February 10, 2022.
11. The RSUs vest in three equal annual installments beginning on October 1, 2022.
12. The RSUs vest in three equal annual installments beginning on February 23, 2023.
13. The dividend equivalent rights vest proportionately with the RSUs to which they relate. Each dividend equivalent right is the economic equivalent of one share of Common Stock.

/s/ Jacalyn C. Bolles,  
attorney-in-fact (power of 03/22/2022  
attorney filed herewith)

\*\* Signature of Reporting Person                      Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.**

## POWER OF ATTORNEY

Know all by these presents, that the undersigned hereby constitutes and appoints each of Jacalyn C. Bolles and Eric C. Scroggins or either of them signing singly, and with full power of substitution, the undersigned's true and lawful attorney-in-fact to:

(1) prepare, execute in the undersigned's name and on the undersigned's behalf, and submit to the U.S. Securities and Exchange Commission (the "SEC") a Form ID, including amendments thereto, and any other documents necessary or appropriate to obtain codes and passwords enabling the undersigned to make electronic filings with the SEC of reports required by Section 16(a) of the Securities Exchange Act of 1934 or any rule or regulation of the SEC;

(2) execute for and on behalf of the undersigned, in the undersigned's capacity as an officer and/or director of Allison Transmission Holdings, Inc. (the "Company"), Forms 3, 4, and 5 in accordance with Section 16(a) of the Securities Exchange Act of 1934 and the rules thereunder;

(3) do and perform any and all acts for and on behalf of the undersigned which may be necessary or desirable to complete and execute any such Form 3, 4, or 5, complete and execute any amendment or amendments thereto, and timely file such form with the SEC and any stock exchange or similar authority; and

(4) take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorney-in-fact, may be of benefit to, in the best interest of, or legally required by, the undersigned, it being understood that the documents executed by such attorney-in-fact on behalf of the undersigned pursuant to this Power of Attorney shall be in such form and shall contain such terms and conditions as such attorney-in-fact may approve in such attorney-in-fact's discretion.

The undersigned hereby grants to each such attorney-in-fact full power and authority to do and perform any and every act and thing whatsoever requisite, necessary, or proper to be done in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as the undersigned might or could do if personally present, with full power of substitution or revocation, hereby ratifying and confirming all that such attorney-in-fact, or such attorney-in-fact's substitute or substitutes, shall lawfully do or cause to be done by virtue of this power of attorney and the rights and powers herein granted. The undersigned acknowledges that the foregoing attorneys-in-fact, in serving in such capacity at the request of the undersigned, are not assuming, nor is the Company assuming, any of the undersigned's responsibilities to comply with Section 16 of the Securities Exchange Act of 1934.

This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4, and 5 with respect to the undersigned's holdings of and transactions in securities issued by the Company, unless earlier revoked by the undersigned in a signed writing delivered to the foregoing attorneys-in-fact.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of this 15th day of March, 2022.

/s/ Rafael Basso  
Signature

Rafael Basso  
Printed Name