FORM 4

obligations may continue. See Instruction 1(b).

Check this box if no longer subject to Section 16. Form 4 or Form 5

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

	9,	 -	

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL 3235-0287 OMB Number: Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*  Kirk Randall R.						2. Issuer Name and Ticker or Trading Symbol Allison Transmission Holdings Inc [ ALSN ]								5. Relationship of Reportin (Check all applicable) Director  Officer (give title			10% Ow	ner		
(Last) ONE AL	st) (First) (Middle) NE ALLISON WAY						3. Date of Earliest Transaction (Month/Day/Year) 02/02/2021								X Officer (give title Other (specify below) SVP, Prod Eng & Prod Teams					
(Street) INDIANAPOLIS IN 46222 (City) (State) (Zip)					4. 11	4. If Amendment, Date of Original Filed (Month/Day/Year)								Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person     Form filed by More than One Reporting Person						
			le I - Noi			_				Dis	_			ally Owne						
1. Title of Security (Instr. 3)				2. Transaction Date (Month/Day/Year)		ar)	2A. Deemed Execution Date, if any (Month/Day/Year)		Transaction Code (Instr.					nd Securiti Benefic	es ially Following	Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	Amount			(A) or (D) Pr		Transac	Transaction(s) (Instr. 3 and 4)								
Common Stock 02/02/						:021			М		4,685	5 A \$		.58 28	289,555		D			
Common Stock 02/02/						2021		М		4,979	) A	\$30	.23 29	94,534		D				
		ī							juired, C s, optior					ly Owned						
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	Conversion Date Execution or Exercise (Month/Day/Year) if any Price of Perivative		n Date, Transacti Code (Ins			on of		6. Date Exercisable Expiration Date (Month/Day/Year)			7. Title and Amount of Securities Underlying Derivative Secu (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficial Owned Following Reported Transactio (Instr. 4)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisab		Expiration Date	Title	Amoun or Numbe of Shares								
Employee Stock Option (right to buy)	\$23.58	02/02/2021			M			4,685	(1)	C	3/25/2023	Common Stock	4,685	\$0	0		D			
Employee Stock Option (right to buy)	\$30.23	02/02/2021			M			4,979	(2)	O	2/18/2024	Common Stock	4,979	\$0	10,601	1	D			

## **Explanation of Responses:**

- 1. Option vested on December 15, 2015.
- 2. Option vested on December 1, 2016.

## Remarks:

Jacalyn C. Bolles, attorney-in-<u>fact</u>

02/04/2021

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.