SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

			of Section So(n) of the investment Company Act of 1940							
1. Name and Address of Reporting Person [*] Reynolds Richard VanFleet			2. Issuer Name and Ticker or Trading Symbol Allison Transmission Holdings Inc [ALSN]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
			<u></u>	X Director 10% Owner						
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 08/27/2021	Officer (give title Other (specify below) below)						
ONE ALL	ISON WAY									
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)						
INDIANAPOLIS IN 46222		46222		X Form filed by One Reporting Person						
		40222		Form filed by More than One Reporting Person						
(City)	(State)	(Zip)								
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										

1. Title of Security (Instr. 3)	Date Executio (Month/Day/Year) if any		Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of 3A. Deemed 6. Date Exercisable and 9. Number of 11. Nature 3. Transaction 5. Number 7. Title and 8. Price of 10. Conversion Expiration Date (Month/Day/Year) Derivative Date Execution Date Transaction of Amount of Derivative derivative Ownership of Indirect if any Security (Instr. 3) or Exercise Price of Code (Instr. 8) Security (Instr. 5) Securities Beneficially Form: Direct (D) (Month/Day/Year) Derivative Securities Beneficial (Month/Day/Year) Securities Underlying Ownership Acquired (A) or Disposed of (D) (Instr. 3, 4 Derivative Derivative Security Owned or Indirect (Instr. 4) Security (Instr. 3 and 4) Following (I) (Instr. 4) Reported Transaction(s) (Instr. 4) and 5)

or Number Expiration Date Date of Code v (A) (D) Exercisable Title Shares Dividend Commoi (1) 08/27/2021 (1) (1) 157 2,430 157 \$<mark>0</mark> D Α Equivalent Stock Rights

Explanation of Responses:

1. The dividend equivalent rights accrued on previously awarded deferred stock units ("DSUs") and vest proportionately with the DSUs to which they relate. Each dividend equivalent right is the economic equivalent of one share of Allison Transmission Holdings, Inc. common stock.

Remarks:

<u>/s/Jacalyn C. Bolles, attorney-</u> in-fact <u>08/31</u>

Amount

08/31/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).