П

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| Check this box if no longer subject to | C |
|--|---|
| Section 16. Form 4 or Form 5           |   |
| obligations may continue. See          |   |
| Instruction 1(b).                      |   |

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

# OMB APPROVAL OMB Number: 3235-0287 Estimated average burden

|                          | -   |
|--------------------------|-----|
| hours per response:      | 0.5 |
| Estimated average burden |     |

| 1. Name and Address of Reporting Person <sup>*</sup><br>STAR JAMES A                |         |       | 2. Issuer Name <b>and</b> Ticker or Trading Symbol<br>Allison Transmission Holdings Inc [ ALSN ] | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)<br>X Director 10% Owner |  |
|---|---------|-------|--|--|--|
| (Last) (First) (Middle)<br>C/O ALLISON TRANSMISSION HOLDINGS INC<br>ONE ALLISON WAY |         |       | 3. Date of Earliest Transaction (Month/Day/Year)<br>11/10/2017                                   | Officer (give title Other (specify below) below)   |  |
|   |         |       | 4. If Amendment, Date of Original Filed (Month/Day/Year)   | 6. Individual or Joint/Group Filing (Check Applicable<br>Line)                                     |  |
| (Street)  |         |       |  | X Form filed by One Reporting Person   |  |
| INDIANAPOI  | IS IN   | 46222 |  | Form filed by More than One Reporting<br>Person  |  |
| (City)  | (State) | (Zip) |  |  |  |

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| Table 1- Non-Derivative decurries Acquired, Disposed of, of Derenolary Owned |  |   |   |  |        |                    |                           |   |   |   |  |  |
|--|--|---|---|--|--------|--------------------|---------------------------|---|---|---|--|--|
| 1. Title of Security (Instr. 3)  | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code (Instr.<br>8) |  |        |                    |                           | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |  |  |
|  |  |   | Code V                                  |  | Amount | ount (A) or<br>(D) |                           | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                |   | (Instr. 4)  |  |  |
| Common Stock <sup>(1)</sup>  | 11/10/2017                                 |   | A                                       |  | 433    | A                  | <b>\$0</b> <sup>(2)</sup> | 41,450  | D   |   |  |  |
| Common Stock   |  |   |   |  |        |                    |                           | 1,187,000   | Ι   | By<br>Areljay<br>II LLC <sup>(3)</sup>              |  |  |
| Common Stock   |  |   |   |  |        |                    |                           | 3,726,553   | Ι   | By The<br>Crown<br>Fund <sup>(3)</sup>              |  |  |
| Common Stock   |  |   |   |  |        |                    |                           | 1,710,561   | I   | By The<br>Crown<br>Fund II <sup>(3)</sup>           |  |  |
| Common Stock   |  |   |   |  |        |                    |                           | 19,900  | I   | By<br>HCNI II,<br>LLC <sup>(3)</sup>                |  |  |

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | 5. Nu<br>of<br>Deriv<br>Secu<br>Acqu<br>(A) or<br>Dispo<br>of (D)<br>(Instr<br>and 5 | ative<br>rities<br>ired<br>osed<br>. 3, 4 | Expiration Date<br>(Month/Day/Year) |                    | ation Date Amount of |  |  | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | Ownership<br>Form:<br>Direct (D) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---|---|--|---|------------------------------|---|--|---|-------------------------------------|--------------------|----------------------|--|--|--|----------------------------------|--|
|   |   |  |   | Code                         | v | (A)  | (D)                                       | Date<br>Exercisable                 | Expiration<br>Date | Title                | Amount<br>or<br>Number<br>of<br>Shares |  |  |                                  |  |

#### Explanation of Responses:

1. These shares represent a quarterly payment of the reporting person's annual retainer under the Allison Transmission Holdings, Inc. (the "Company") Third Amended and Restated Non-Employee Director Compensation Policy. The annual retainer is paid quarterly in arrears in cash or common stock at the reporting person's discretion.

2. The number of shares of common stock received was calculated based on \$43.30, which was the closing price of the Company's Common Stock on the date of grant.

3. These shares are held by the identified entities in which trusts established for the benefit of the reporting person's wife and children indirectly own interests. The reporting person is President and Chief Executive Officer of Longview Asset Management, LLC, which, by virtue of managing the investments of such entities, may be deemed to beneficially own the shares held by such entities. The reporting person disclaims beneficial ownership of the shares held by these entities except to the extent of his pecuniary interest therein, and the inclusion of these shares in this report shall not be deemed an admission of beneficial ownership of all the reported shares for purposes of Section 16 or for any other purpose.

Remarks:

#### /s/ Eric C. Scroggins, attorney-11/14/2017

<u>in-fact</u>

\*\* Signature of Reporting Person Date

 $\label{eq:resonance} \ensuremath{\mathsf{Report}}\xspace{0.5ex} \text{on a separate line for each class of securities beneficially owned directly or indirectly.}$ 

 $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.