SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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 0.5

1. Name and Address of Reporting Person* Graziosi David S. (Last) (First) (Middle) ONE ALLISON WAY		2. Issuer Name and Ticker or Trading Symbol <u>Allison Transmission Holdings Inc</u> [ALSN] 3. Date of Earliest Transaction (Month/Day/Year) 02/05/2013	5. Relationship of Reporting Person(s) to Is (Check all applicable) Director 10% C X Officer (give title Other below) below Exec VP, CFO and Treasur	Dwner (specify)
(Street) INDIANAPOLIS IN (City) (State)	46222 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check A Line) X Form filed by One Reporting Pers Form filed by More than One Rep Person	son

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	02/05/2013		М		14,520	A	\$8.44	65,365	D	
Common Stock	02/05/2013		S ⁽¹⁾		14,520	D	\$23.0754 ⁽²⁾	50,845	D	
Common Stock	02/06/2013		М		23,500	A	\$8.44	74,345	D	
Common Stock	02/06/2013		S ⁽¹⁾		23,500	D	\$23.0408 ⁽³⁾	50,845	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction 3A. Deemed 5. Number 6. Date Exercisable and 7. Title and Amount 8. Price of 9. Number of 11. Nature 10. Expiration Date (Month/Day/Year) derivative Derivative Conversion Date Execution Date Transaction Securities Derivative Ownership of Indirect (Month/Day/Year) Derivative Underlying Derivative Security or Exercise Price of Security (Instr. 3) if any (Month/Day/Year) Code (Instr. Security (Instr. 5) Securities Form: Beneficial Direct (D) 8) Securities Beneficially Ownership Acquired (A) or Disposed Derivative (Instr. 3 and 4) Owned or Indirect (Instr. 4) Following Security (I) (Instr. 4) Reported Transaction(s) of (D) (Instr. 3, 4 and 5) (Instr. 4) Amount Number Date Expiration of Code v (A) (D) Exercisable Date Titlo Shares Employee Stock Commo Option 02/05/2013 (4) 14,520 97,356 \$8.44 Μ 14,520 11/13/2017 \$<mark>0</mark> D Stock (right to buy) Employee Stock Common (4) 23,500 Option 02/06/2013 11/13/2017 73.856 \$8.44 Μ 23,500 \$<mark>0</mark> D Stock (right to buy)

Explanation of Responses:

1. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on November 16, 2012.

2. The price reported is a weighted average price. These shares were sold in multiple transactions at per share prices ranging from \$23.0000 to 23.4000. The reporting person undertakes to provide the Company, any security holder of the Company or the staff of the SEC, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

3. The price reported is a weighted average price. These shares were sold in multiple transactions at per share prices ranging from \$23.0000 to 23.2200. The reporting person undertakes to provide the Company, any security holder of the Company or the staff of the SEC, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

4. The option vested in five equal annual installments beginning on November 13, 2008.

Remarks:

<u>/s/ Eric C. Scroggins, attorney-</u> in-fact 02/07/2013

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.