FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT	OF CHANGES	IN BENEFICIAL	<b>OWNERSHIP</b>

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

OMB APPROVAL			
OMB Number: 3235-0287			
Estimated average burden			
hours per response:	0.5		

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or Section 30(h) of the Investment Company Act of 1940 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer 1. Name and Address of Reporting Person (Check all applicable) Allison Transmission Holdings Inc [ ALSN ] Graziosi David S. Director 10% Owner Officer (give title Other (specify X 3. Date of Earliest Transaction (Month/Day/Year) helow) below) (Last) (First) (Middle) 03/05/2021 President and CEO ONE ALLISON WAY 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable (Street) Line) INDIANAPOLIS IN 46222 Form filed by One Reporting Person Form filed by More than One Reporting Person (City) (State) (qiZ) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 1. Title of Security (Instr. 3) 2. Transaction 2A. Deemed 5. Amount of 6. Ownership 7. Nature Form: Direct **Execution Date** Securities of Indirect Trans (Month/Day/Year) if any Code (Instr. 5) Beneficially (D) or Indirect Beneficial Ownership (Instr. 4) (Month/Day/Year) 8) Owned Following (I) (Instr. 4) Reported Transaction(s) (A) or Code v Amount Price (D) (Instr. 3 and 4) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of 3. Transaction 3A. Deemed 5. Number 6. Date Exercisable and 8. Price of 9. Number of 11. Nature Expiration Date (Month/Day/Year) Derivative Conversion **Execution Date** Transaction Amount of Derivative derivative Ownership of Indirect Security (Instr. 3) or Exercise Price of Form: Direct (D) (Month/Day/Year) Code (Instr. Derivative Securities Security (Instr. 5) Securities Beneficial (Month/Day/Year) 8) Underlying Beneficially Securities Ownership Acquired (A) or Disposed Derivative Derivative Security Owned or Indirect (Instr. 4) Security (Instr. 3 and 4) Following (I) (Instr. 4) Reported of (D) (Instr. 3, 4 Transaction(s) (Instr. 4) and 5) Amount Number Date Expiration Code (A) (D) Title Shares

## **Explanation of Responses:**

1. The dividend equivalent rights accrued on previously awarded restricted stock units ("RSUs") and vest proportionately with the RSUs to which they relate. Each dividend equivalent right is the economic equivalent of one share of Allison Transmission Holdings, Inc. common stock.

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## Remarks:

Dividend

Equivalent

Jacalyn C. Bolles, attorney-infact

178

\$0

Stock

03/09/2021

178

D

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

03/05/2021

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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