SEC Form 4

П

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287								
Estimated average burden									
hours per response:	0.5								

Check this box if no longer subject to Section 16. Form 4 or Form 5	STATEMENT OF CHANGES IN BI
obligations may continue. See	
Instruction 1(b).	Filed pursuant to Section 16(a) of the Secu
	or Section 30(h) of the Investment (

Instruction	n 1(b).					es Exchange Act of 1934			per response.	0.5		
			or Sec	ction 30(h) of the In	vestment Con	Ipany Act of 1940						
1 I. Marile and Address of Reporting Ferson				er Name and Ticke Son Transmiss	0	ymbol ings Inc [ALSN]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
	<u>J I ICUCIICK</u>					-		Director Officer (give title	10% C	Owner (specify		
(Loot)	(First)	(Middle)	3. Date	e of Earliest Transa	ction (Month/E	Day/Year)		below)	below			
(Last)	(First)	(Middle)	03/05	/2021				SVP, CFO	& Treasurer			
ONE ALLI	ISON WAY											
(2)			4. If Ar	mendment, Date of	Original Filed	(Month/Day/Year)		vidual or Joint/Grou	p Filing (Check A	Applicable		
(Street)	DOLIS IN	46222					Line)	Form filed by On	e Reporting Per	con		
	POLIS IN	40222						Form filed by Mo				
			_					Person	re than One Rep	Jorting		
(City)	(State)	(Zip)										
		Table I - Non-De	rivative S	ecurities Acq	uired, Disp	osed of, or Benefi	cially	Owned				
1. Title of Security (Instr. 3) 2. Transa			ansaction	2A. Deemed	3.	4. Securities Acquired (A) or	5. Amount of	6. Ownership	7. Nature		

1. Title of Security (Instr. 3)	Date	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)	ction	Disposed Of	Disposed Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported	ities ficially d Following (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(11150.4)		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned												

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)	ction	5. Number of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	,) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Dividend Equivalent Rights	(1)	03/05/2021		A		88		(1)	(1)	Common Stock	88	\$ <mark>0</mark>	384	D	

Explanation of Responses:

1. The dividend equivalent rights accrued on previously awarded restricted stock units ("RSUs") and vest proportionately with the RSUs to which they relate. Each dividend equivalent right is the economic equivalent of one share of Allison Transmission Holdings, Inc. common stock.

Remarks:

/s/ Jacalyn C. Bolles, attorney-03/09/2021

in-fact

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.