SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-028									
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Check this box if no longer subject to Section 16. Form 4 or Form 5 [] obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

	Address of Reporting	Person*	2. Issuer Name and Allison Trans		g Symbol dings Inc [ALSN]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
Pittard D	<u>ana JH</u>						Director		Owner			
(Last)	(First)	(Middle)	3. Date of Earliest T	ransaction (Mon	h/Day/Year)	X	Officer (give titl below)	e Other below	r (specify /)			
ONE ALLI	()	(made)	03/20/2020				VP, Defense Programs					
(Street)			4. If Amendment, Da	ate of Original Fil	ed (Month/Day/Year)	6. Indiv Line)	vidual or Joint/Gro	oup Filing (Check	Applicable			
INDIANAI	POLIS IN	46222				X	Form filed by C	One Reporting Per	son			
(Citra)	(State)	(7in)	—				Form filed by M Person	Nore than One Re	porting			
(City)	(State)	(Zip)										
		Table I - Non-De	rivative Securities	Acquired, Di	sposed of, or Benefi	cially	Owned					
1 Title of Sor	curity (Instr. 3)	2 Tr	ansaction 24 Deemed	3	4 Securities Acquired (A)) or	5 Amount of	6 Ownershin	7 Nature			

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	if any	Transaction Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	(D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Dividend Equivalent Rights	(1)	03/20/2020		A		34		(1)	(1)	Common Stock	34	\$0	111	D	

Explanation of Responses:

1. The dividend equivalent rights accrued on previously awarded restricted stock units ("RSUs") and vest proportionately with the RSUs to which they relate. Each dividend equivalent right is the economic equivalent of one share of Allison Transmission Holdings, Inc. common stock.

Remarks:

/s/Jacalyn C. Bolles, attorneyin-fact

03/24/2020

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.