SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number:

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Filed pursuant to Section 16(a) of the S
or Costion 20(b) of the Investme

(Street) INDIANAPOLIS IN 46222			4. II AI	nendment, Date of		(wonu in Day, fedf)	Line)	ridual or Joint/Grou Form filed by On Form filed by Mo Person	e Reporting Pers	son
(City)	(State)	(Zip)								
		Table I - No	n-Derivative S	ecurities Acqu	uired, Disp	oosed of, or Benefi	cially	Owned		
1. Title of Security (Instr. 3)		2. Transaction Date (Month/Day/Year)	Execution Date,		4. Securities Acquired (A Disposed Of (D) (Instr. 3, 5)		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	

						Code	v	Amount	(A) or (D)	Price	Transacti (Instr. 3 a				
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	of	6. Date Ex Expiration (Month/Da	Date	r) S L	. Title and Amount of Securities Inderlying Derivative Se	curity	erivative ecurity nstr. 5)	9. Number of derivative Securities Beneficially Owned	Ownership Form:	Beneficial Ownership (Instr. 4)	

	Security				(A) or Disposed of (D) (Instr. 3, 4 and 5)				(Instr. 3 and 4)			Following Reported Transaction(s) (Instr. 4)	(I) (Instr. 4)	
			Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Dividend Equivalent Rights	(1)	03/05/2021	A		21		(1)	(1)	Common Stock	21	\$0	103	D	

Explanation of Responses:

1. The dividend equivalent rights accrued on previously awarded restricted stock units ("RSUs") and deferred stock units ("DSUs") and vest proportionately with the RSUs and DSUs to which they relate. Each dividend equivalent right is the economic equivalent of one share of Allison Transmission Holdings, Inc. common stock.

Remarks:

/s/ Jacalyn C. Bolles, attorney-03/09/2021

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** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).